

Employee Name: **Moolani, Dana**
 Certification / Form Name: **Accounts, Holdings & Transactions**
 Date Range: **10/1/2015 12:00:00 AM to 12/31/2015 12:00:00 AM**
 Note: **This Certification / Form text was updated on Wednesday, February 25, 2015 at 1:16:33 PM**

Below are all reportable brokerage accounts and security transactions for you and your Related Persons for the current reporting period reported to Compliance. This list may not include transactions in Exempt Securities, as defined in the Personal Investment Transactions Policy, or transactions in managed or blind trust accounts for which you have a managed account agreement on file.

If the list below is missing (1) any reportable brokerage accounts, (2) any reportable securities transactions, or (3) any reportable holdings in securities positions subject to the disclosure requirements, you must provide all missing information and related documentation to Compliance during the certification process. If Compliance does not receive brokerage account statements or trade confirmations directly from your brokerage firm, you must provide this information to Compliance promptly.

All Access Persons and their Related Persons are required to adhere to the Designated Broker requirements. Failure to fully disclose reportable brokerage accounts, securities transactions and securities holdings to Compliance is a violation of Oaktree's Personal Investment Transactions Policy. Please carefully review your responses before submitting.

By clicking submit, you are expressly consenting to the electronic submission of your responses and you agree that such responses shall not be denied legal effect, validity or enforceability solely because it was submitted or executed electronically.

CERTIFICATION / FORM NOT COMPLETED

Accounts, Holdings, Transactions to be Certified

Charles Schwab - Account #1696-6640

(Account Holder: Karim Alnasir Moolani)

Holding	Type	Quantity	Price	Value
BFCF - BFC FINANCIAL CORP	Stocks	500.00	\$3.39 USD	\$1,695.00 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
HBAYF - HUDSON'S BAY COMPANY	Stocks	125.00	\$13.04 USD	\$1,630.00 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
MYRX - MYREXIS INC	Stocks	5,000.00	\$0.09 USD	\$450.00 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
NOV - NATIONAL OILWELL VARCO INC	Stocks	50.00	\$33.49 USD	\$1,674.50 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
SDS - PROSHARES ULTRASHORT S&P500	Exchange-Traded Funds	50.00	\$19.93 USD	\$996.50 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
SFTBY - SOFTBANK GROUP CORP.	Stocks	100.00	\$25.19 USD	\$2,518.50 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
TRUP - TRUPANION INC	Stocks	100.00	\$9.76 USD	\$976.00 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
V - VISA INC	Stocks	100.00	\$77.55 USD	\$7,755.00 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
XPLT - XPEL TECHNOLOGIES CORP	Stocks	100.00	\$1.01 USD	\$100.85 USD

(no reportable transactions on this holding)

Charles Schwab - Account #6492-3770

(Account Holder: Dana Levy Moolani and Karim Alnasir Moolani (Joint Tenant))

Holding	Type	Quantity	Price	Value
DLINX - DOUBLELINE FLEXIBLE INCOME FUND	Mutual Funds	247.04	\$9.59 USD	\$2,369.08 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
DLTNX - DOUBLELINE TOTAL RETURN BOND FUND	Mutual Funds	227.27	\$10.78 USD	\$2,450.00 USD
(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
XPO - XPO LOGISTICS INC	Stocks	50.00	\$27.25 USD	\$1,362.50 USD
(no reportable transactions on this holding)				

Interactive Brokers - Account #U1437269

(Account Holder: Karim and Dana Moolani)

Holding	Type	Quantity	Price	Value								
BABA - ALIBABA GROUP HOLDING LTD	Stocks	-35.00	\$81.27 USD	\$(2,844.45) USD								
(no reportable transactions on this holding)												
Holding	Type	Quantity	Price	Value								
BFCF - BFC FINANCIAL CORP	Stocks	0.00	\$3.39 USD	\$0.00 USD								
<table><tr><th>Date</th><th>Transaction</th><th>Price</th><th>Value</th></tr><tr><td>10/30/2015</td><td>Sell 600.00 units of 🌐 BFCF - BFC FINANCIAL CORP</td><td>\$3.60 USD</td><td>\$2,160.00 USD</td></tr></table>					Date	Transaction	Price	Value	10/30/2015	Sell 600.00 units of 🌐 BFCF - BFC FINANCIAL CORP	\$3.60 USD	\$2,160.00 USD
Date	Transaction	Price	Value									
10/30/2015	Sell 600.00 units of 🌐 BFCF - BFC FINANCIAL CORP	\$3.60 USD	\$2,160.00 USD									
Holding	Type	Quantity	Price	Value								
BXMT - BLACKSTONE MORTGAGE TRUST INC	Stocks	100.00	\$26.76 USD	\$2,676.00 USD								
(no reportable transactions on this holding)												
Holding	Type	Quantity	Price	Value								
DYAX - DYAX CORP	Stocks	100.00	\$37.62 USD	\$3,762.00 USD								
<table><tr><th>Date</th><th>Transaction</th><th>Price</th><th>Value</th></tr><tr><td>11/6/2015</td><td>Buy 100.00 units of 🌐 DYAX - DYAX CORP</td><td>\$34.89 USD</td><td>\$3,489.00 USD</td></tr></table>					Date	Transaction	Price	Value	11/6/2015	Buy 100.00 units of 🌐 DYAX - DYAX CORP	\$34.89 USD	\$3,489.00 USD
Date	Transaction	Price	Value									
11/6/2015	Buy 100.00 units of 🌐 DYAX - DYAX CORP	\$34.89 USD	\$3,489.00 USD									
Holding	Type	Quantity	Price	Value								
DYAXE20164300000 - DYAX CORP (DYAXCO C 30 MAY16)	Options	200.00	\$7.62 USD	\$1,524.00 USD								
<table><tr><th>Date</th><th>Transaction</th><th>Price</th><th>Value</th></tr><tr><td>11/25/2015</td><td>Buy 200.00 units of 🌐 DYAXE20164300000 - DYAX CORP (DYAXCO C 30 MAY16)</td><td>\$6.06 USD</td><td>\$1,212.00 USD</td></tr></table>					Date	Transaction	Price	Value	11/25/2015	Buy 200.00 units of 🌐 DYAXE20164300000 - DYAX CORP (DYAXCO C 30 MAY16)	\$6.06 USD	\$1,212.00 USD
Date	Transaction	Price	Value									
11/25/2015	Buy 200.00 units of 🌐 DYAXE20164300000 - DYAX CORP (DYAXCO C 30 MAY16)	\$6.06 USD	\$1,212.00 USD									
Holding	Type	Quantity	Price	Value								
HBC - HUDSON'S BAY COMPANY	Stocks	650.00	18.10 CAD	11,765.00 CAD								
<table><tr><th>Date</th><th>Transaction</th><th>Price</th><th>Value</th></tr><tr><td>10/30/2015</td><td>Buy 100.00 units of 🌐 HBC - HUDSON'S BAY COMPANY</td><td>23.27 CAD</td><td>2,327.00 CAD</td></tr></table>					Date	Transaction	Price	Value	10/30/2015	Buy 100.00 units of 🌐 HBC - HUDSON'S BAY COMPANY	23.27 CAD	2,327.00 CAD
Date	Transaction	Price	Value									
10/30/2015	Buy 100.00 units of 🌐 HBC - HUDSON'S BAY COMPANY	23.27 CAD	2,327.00 CAD									
Holding	Type	Quantity	Price	Value								
LPG - DORIAN LPG LTD	Stocks	100.00	\$11.77 USD	\$1,177.00 USD								
(no reportable transactions on this holding)												
Holding	Type	Quantity	Price	Value								
PSH - PERSHING SQUARE HOLDINGS LTD	Exchange-Traded Funds	100.00	\$20.26 USD	\$2,026.00 USD								
(no reportable transactions on this holding)												
Holding	Type	Quantity	Price	Value								
XPO - XPO LOGISTICS INC	Stocks	150.00	\$27.25 USD	\$4,087.50 USD								
(no reportable transactions on this holding)												
Holding	Type	Quantity	Price	Value								
YAHOO - YAHOO JAPAN CORP	Stocks	-90.00	\$8.10 USD	\$(728.55) USD								
(no reportable transactions on this holding)												
Holding	Type	Quantity	Price	Value								
YHOO - YAHOO INC	Stocks	100.00	\$33.26 USD	\$3,326.00 USD								

(no reportable transactions on this holding)				
Holding	Type	Quantity	Price	Value
YPF - YPF SA	Stocks	50.00	\$15.72 USD	\$786.00 USD
(no reportable transactions on this holding)				

Accounts, Holdings & Transactions Questions

Question 1: Did you transact in any private placements during the reporting period that are not properly reflected above (e.g. hedge fund, private equity fund or private company offering)?

(No response provided - A response is required for this question)

Question 2: I hereby certify that all of the information provided is accurate and complete.

(No response provided - A response is required for this question)